

FFY 2022-23
October 2022 – May 2023

What is a Commitment At Award (CAA) Report?

The CAA is a monthly report which identifies contracts awarded to prime contractors/consultants and Disadvantaged Business Enterprise (DBE) subcontractors/sub-consultants, as shown on commitment forms, for each federal-aid contract awarded in the current federal fiscal year. The CAA breaks down DBE subcontractors/sub-consultants by ethnicity/gender and the dollar amount for each group.

There are five division-specific CAA reports: the Office of Engineers (OE), Division of Local Assistance (DLA), Division of Procurement and Contracts (DPAC), Design Build (DB), and Contract Management General Contracting (CMGC), and Division of Transportation Planning (DOTP).

ALL DIVISIONS					
Target			YTD Totals		
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA
22.23%	18.30%	3.90%	Total of All Divisions	23.96%	18.37%
					5.60%

DBE Participation (Caltrans' overall numbers as of May 31, 2023)

- Caltrans has awarded 232 Federal Highway Administration (FHWA)-assisted contracts (OE, DPAC, DLA, DOTP, DB, and CMGC contracts) in FFY 2022-23, valued at \$2,502,561,950.41.
 - Total DBE commitment at award is \$599,718,753.41 or 23.96 percent.
- Caltrans has awarded 230 FHWA-assisted contracts (OE, DPAC, DLA, DOTP contracts) in FFY 2022-23, valued at \$2,342,313,447.57.
 - Total DBE commitment at award is \$576,747,311.15 or 24.62 percent.
- Caltrans has awarded 2 FHWA-assisted CMGC contracts in FFY 2022-23, valued at \$160,248,502.84.
 - Total DBE commitment at award is \$22,971,442.26 or 14.33 percent.
- Caltrans has no awards for DB FHWA-assisted contracts in FFY 2022-23.

DBE Participation (Caltrans' A&E-only numbers as of May 31, 2023)

- Caltrans has awarded 21 FHWA-assisted A&E contracts in FFY 2022-23, valued at \$215,233,080.00.
 - Total DBE commitment at award is \$48,659,342.43 or 22.61 percent.



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DBE Primes Utilized on all awarded contracts 10/1/2022 – 5/31/2023

Below is a list of the awarded DBE primes for DLA, DOTP, OE, and DPAC.
DB/CMGC has no DBE Primes.

ACCESS PACIFIC INC	1	\$1,684,238.15	HISPANIC	MALE
ACCURATE EARTHWORKS, INC.	1	\$777,321.00	SUBCONTINENT ASIAN	MALE
AGEE CONSTRUCTION CORPORATION	5	\$11,220,283.00	NATIVE AMERICAN	FEMALE
ALFARO COMMUNICATIONS	1	\$1,543,203.20	HISPANIC	MALE
BURTCHE CONSTRUCTION	3	\$2,468,335.02	NON-MINORITY	FEMALE
CALIFORNIA PROFESSIONAL ENGINEERING, INC.	1	\$367,316.00	ASIAN-PACIFIC AMERICAN	MALE
CHAUDHARY & ASSOCIATES	1	\$11,608,250.00	SUBCONTINENT ASIAN	MALE
DIRT DYNASTY INC	1	\$764,000.00	HISPANIC	MALE
DOD CONSTRUCTION LTD	1	\$3,306,682.00	BLACK AMERICAN	MALE
EMMETT VALLEY CONSTRUCTION, INC.	1	\$409,204.00	HISPANIC	MALE
FALCON ENGINEERING SERVICES INC	1	\$28,120,000.00	HISPANIC	MALE
FILIPPIN ENGINEERING INC	2	\$1,162,086.01	HISPANIC	MALE
FLO ENGINEERING INC	1	\$165,000.00	HISPANIC	FEMALE
FOUNTAINHEAD CONSULTING CORPORATION	1	\$6,000,000.00	HISPANIC	MALE
GPA CONSULTING	1	\$792,032.51	NON-MINORITY	FEMALE
IBARRA GENERAL ENGINEERING INC	2	\$8,157,737.50	HISPANIC	MALE
J. FRANCIS COMPANY	1	\$7,456,438.00	NON-MINORITY	FEMALE
JJR CONSTRUCTION INC	1	\$779,224.00	HISPANIC	MALE
LAMBERT 20/20 COMMUNICATIONS	1	\$603,225.00	HISPANIC	FEMALE
MARTINEZ LANDSCAPE CO., INC	2	\$4,839,637.70	HISPANIC	MALE
MCCULLOUGH CONSTRUCTION INC	4	\$45,704,090.64	NATIVE AMERICAN	MALE
MOUNTAIN METHODS, INC.	1	\$12,136,063.40	NATIVE AMERICAN	MALE
PTM GENERAL ENGINEERING SERVICES INC	6	\$22,886,225.98	HISPANIC	FEMALE
RE CHAFFEE CONSTRUCTION INC	1	\$3,797,765.00	HISPANIC	MALE
RNR CONSTRUCTION, INC.	1	\$14,990,202.50	HISPANIC	MALE
S.T. RHOADES CONSTRUCTION INC	1	\$11,644,939.00	NATIVE AMERICAN	MALE
TERRA WEST CONSTRUCTION INC	1	\$1,089,595.00	HISPANIC	MALE
TSI ENGINEERING, INC.	1	\$6,898,786.00	SUBCONTINENT ASIAN	MALE
UNICO ENGINEERING INC	1	\$379,208.53	HISPANIC	MALE
UNITED PAVEMENT MAINTENANCE, INC	2	\$1,961,749.85	HISPANIC	MALE
Total	48	\$210,066,850.99		

**DBE PARTICIPATION – ALL DIVISIONS
(OE, DPAC, DLA, DOTP, DB & CMGC)**

	OE, DPAC, DLA & DOTP Dollars	Design Build Dollars	CMGC Dollars	Total of OE, DPAC, DLA, DOTP & DB/CMGC Dollars
Total Number of Contracts	230	0	2	232
Total Contract Award Amount	\$2,342,313,447.57	\$0.00	\$160,248,502.84	\$2,502,561,950.41
Total DBE Commitment Amount	\$576,747,311.15	\$0.00	\$22,971,442.26	\$599,718,753.41
Total DBE Commitment %	24.62%	0.00%	14.33%	23.96%



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The following tables identify each division's Commitment at Award by Socially & Economically Disadvantaged (SED) Group, compared to the Caltrans Disparity Study for the 2022-23 Federal Fiscal Year (FFY).

All Federally Funded Contracts by SED Group
OE, DPAC, DLA, DOTP, DB, CMGC
Award Dollars: \$2,502,561,950.41 (10/1/2022 – 5/31/2023)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$27,991,841.87	81	1.12%	1.44%	-0.32%	-\$8,045,050.22
Asian Pacific	\$66,091,188.18	123	2.64%	2.73%	-0.09%	-\$2,228,753.07
Sub-Continent Asian	\$52,751,798.95	70	2.11%	1.32%	0.79%	\$19,717,981.20
Hispanic	\$181,677,716.52	439	7.26%	10.01%	-2.75%	-\$68,828,734.72
Native American	\$75,119,721.16	58	3.00%	1.02%	1.98%	\$49,593,589.27
Non-Minority Women Owned	\$196,086,486.73	364	7.84%	5.71%	2.13%	\$53,190,199.36
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$599,718,753.41	1,135	23.96%	22.2%	1.73%	\$43,399,231.83

All Federally Funded Contracts by Division
OE, DPAC, DLA, DOTP, DB, CMGC
Award Dollars: \$2,502,561,950.41 (10/1/2022 – 5/31/2023)

Socially & Economically Disadvantaged (SED) Group.	Office of Engineers (OE)	Division of Procurement & Contracts (DPAC)	Division of Local Assistance (DLA)	Division of Transportation Planning (DOTP)	Construction Management General Contracting (CMGC)	Design Build (DB)
African American	\$21,886,694.52	\$1,185,040.17	\$4,920,107.18	\$0.00	\$0.00	\$0.00
Asian Pacific	\$44,547,305.54	\$17,925,308.57	\$2,896,654.67	\$0.00	\$721,919.40	\$0.00
Sub-Continent Asian	\$41,402,752.78	\$9,273,784.60	\$1,438,851.57	\$0.00	\$636,410.00	\$0.00
Hispanic	\$153,538,690.31	\$8,869,443.22	\$18,460,271.99	\$0.00	\$809,311.00	\$0.00
Native American	\$47,625,280.95	\$0.00	\$21,038,536.71	\$0.00	\$6,455,903.50	\$0.00
Non-Minority Women Owned	\$161,142,222.61	\$11,405,765.87	\$9,190,599.89	\$0.00	\$14,347,898.36	\$0.00
Non-Minority Men	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
YTD TOTALS	\$470,142,946.71	\$48,659,342.43	\$57,945,022.01	\$0.00	\$22,971,442.26	\$0.00



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Federally Funded Contracts by SED Group
OE, DPAC, DLA, DOTP
Award Dollars: \$2,342,148,447.57 (10/1/2022 – 5/31/2023)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$27,991,841.87	81	1.20%	1.44%	-0.24%	-\$5,735,095.78
Asian Pacific	\$65,369,268.78	123	2.79%	2.73%	0.06%	\$1,428,616.16
Sub-Continent Asian	\$52,115,388.95	70	2.23%	1.32%	0.91%	\$21,199,029.44
Hispanic	\$180,868,405.52	439	7.72%	10.01%	-2.29%	-\$53,580,654.08
Native American	\$68,663,817.66	58	2.93%	1.02%	1.91%	\$44,773,903.49
Non-Minority Women Owned	\$181,738,588.37	364	7.76%	5.71%	2.05%	\$48,001,912.01
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$576,747,311.15	1,135	24.62%	22.2%	2.39%	\$56,087,711.26

Federally Funded Contracts by SED Group
OE, DPAC, DLA, DOTP
Award Dollars: \$2,342,148,447.57 (10/1/2022 – 5/31/2023)

Socially & Economically Disadvantaged (SED) Group.	OE	DPAC	DLA	DOTP
African American	\$21,886,694.52	\$1,185,040.17	\$4,920,107.18	\$0.00
Asian Pacific	\$44,547,305.54	\$17,925,308.57	\$2,896,654.67	\$0.00
Sub-Continent Asian	\$41,402,752.78	\$9,273,784.60	\$1,438,851.57	\$0.00
Hispanic	\$153,538,690.31	\$8,869,443.22	\$18,460,271.99	\$0.00
Native American	\$47,625,280.95	\$0.00	\$21,038,536.71	\$0.00
Non-Minority Women Owned	\$161,142,222.61	\$11,405,765.87	\$9,190,599.89	\$0.00
Non-Minority Men	\$0.00	\$0.00	\$0.00	\$0.00
YTD TOTALS	\$470,142,946.71	\$48,659,342.43	\$57,945,022.01	\$0.00



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**Federally Funded Contracts by SED Group
DB/CMGC
Award Dollars: \$160,248,502.84 (10/1/2022 – 5/31/2023)**

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$0.00	0	0.00%	1.44%	-1.44%	-\$2,307,578.44
Asian Pacific	\$721,919.40	3	0.45%	2.73%	-2.28%	-\$3,652,864.73
Sub-Continent Asian	\$636,410.00	1	0.40%	1.32%	-0.92%	-\$1,478,870.24
Hispanic	\$809,311.00	6	0.51%	10.01%	-9.50%	-\$15,231,564.13
Native American	\$6,455,903.50	2	4.03%	1.02%	3.01%	\$4,821,368.77
Non-Minority Women Owned	\$14,347,898.36	8	8.95%	5.71%	3.24%	\$5,197,708.85
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$22,971,442.26	20	14.33%	22.2%	-7.9%	-\$12,651,799.92

**Federally Funded Contracts by Division
DB/CMGC
Award Dollars: \$160,248,502.84 (10/1/2022 – 5/31/2023)**

Socially & Economically Disadvantaged (SED) Group.	CMGC	DB
African American	\$0.00	\$0.00
Asian Pacific	\$721,919.40	\$0.00
Sub-Continent Asian	\$636,410.00	\$0.00
Hispanic	\$809,311.00	\$0.00
Native American	\$6,455,903.50	\$0.00
Non-Minority Women Owned	\$14,347,898.36	\$0.00
Non-Minority Men	\$0.00	\$0.00
YTD TOTALS	\$22,971,442.26	\$0.00



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Federally Funded Contracts by SED Group
A&E (DPAC)
Award Dollars: \$215,233,080.00 (10/1/2022 – 5/31/2023)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$1,185,040.17	5	0.55%	1.44%	-0.89%	-\$1,914,316.18
Asian Pacific	\$17,925,308.57	30	8.33%	2.73%	5.60%	\$12,049,445.49
Sub-Continent Asian	\$9,273,784.60	5	4.31%	1.32%	2.99%	\$6,432,707.94
Hispanic	\$8,869,443.22	20	4.12%	10.01%	-5.89%	-\$12,675,388.09
Native American	\$0.00	0	0.00%	1.02%	-1.02%	-\$2,195,377.42
Non-Minority Women Owned	\$11,405,765.87	35	5.30%	5.71%	-0.41%	-\$884,043.00
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$48,659,342.43	95	22.61%	22.2%	0.38%	\$813,028.75

Federally Funded Contracts by Division
A&E (DPAC)
Award Dollars: \$215,233,080.00 (10/1/2022 – 5/31/2023)

Socially & Economically Disadvantaged (SED) Group.	DPAC
African American	\$1,185,040.17
Asian Pacific	\$17,925,308.57
Sub-Continent Asian	\$9,273,784.60
Hispanic	\$8,869,443.22
Native American	\$0.00
Non-Minority Women Owned	\$11,405,765.87
Non-Minority Men	\$0.00
YTD TOTALS	\$48,659,342.43



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Year-Over-Year Socially & Economically Disadvantaged Group Percentage Change from FFY 2020-21 through FFY 2022-23

The table below represents a three-year comparison of the reported month's total Commitment at Award percentage for each Socially & Economically Disadvantaged (SED) Group. Over the past 3 years, Caltrans has increased overall participation.

For May 2023, Caltrans overall participation was 23.96 percent. This is a 27.86 percent increase over the May 2022 overall participation of 18.74 percent.

Socially & Economically Disadvantaged Group (SED)	May 2021 CAA*	May 2022 CAA	May 2023 CAA	DS Weighted Average
African American	0.30%	1.07%	1.12%	1.44%
Asian Pacific	2.16%	2.07%	2.64%	2.73%
Sub-Continent Asian	1.14%	1.53%	2.11%	1.32%
Hispanic	5.64%	7.97%	7.26%	10.01%
Native American	1.27%	0.87%	3.00%	1.02%
Non-Minority Women Owned	4.63%	5.23%	7.84%	5.71%
Non-Minority Men	0.00%	0.00%	0.00%	-
TOTALS	15.15%	18.74%	23.96%	22.23%
Totals Percentage Change from Previous Year	-	23.68%	27.86%	-

*Total Disparity Study Weighted Average for FFY 2020-21 was a total of 17.63 percent.

Monthly Dashboard by Division

The following tables provide a snapshot of each division's overall goal attainment for the 2022-23 FFY.

Overall Commitment at Award Monthly Dashboard October 1, 2022 – May 31, 2023 (OE, DPAC, DLA & DOTP)

Month	YTD Overall CAA	YTD Overall DBE Commitment Amount
October	24.78%	\$50,243,474.95
November	25.39%	\$136,167,852.27
December	25.12%	\$191,810,724.07
January	24.70%	\$238,790,896.33
February	24.69%	\$401,726,073.94
March	24.71%	\$461,789,952.59
April	24.47%	\$532,156,320.00
May	24.62%	\$576,747,311.15
June		
July		
August		
September		



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Office of Engineers (OE)
Commitment at Award Monthly Dashboard
October 1, 2022 – May 31, 2023

Month	YTD Construction CAA	YTD DBE Commitment Amount
October	27.69%	\$38,969,796.37
November	26.85%	\$107,227,070.83
December	26.47%	\$154,003,840.50
January	25.36%	\$183,071,079.72
February	25.17%	\$332,521,076.90
March	25.08%	\$382,224,443.01
April	24.35%	\$439,682,228.76
May	24.52%	\$470,142,946.71
June		
July		
August		
September		

Division of Local Assistance (DLA)
Commitment at Award Monthly Dashboard
October 1, 2022 – May 31, 2023

Month	YTD DLA CAA	YTD DBE Commitment Amount
October	18.19%	\$7,677,679.58
November	22.67%	\$20,668,993.44
December	22.75%	\$22,722,398.77
January	23.07%	\$24,383,556.34
February	25.85%	\$37,274,736.77
March	24.25%	\$43,657,250.75
April	27.69%	\$56,565,832.41
May	27.66%	\$57,945,022.01
June		
July		
August		
September		



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Division of Procurement & Contracts (DPAC)
Commitment at Award Monthly Dashboard
October 1, 2022 – May 31, 2023

Month	YTD DPAC CAA	YTD DBE Commitment Amount
October	18.16%	\$3,596,000.00
November	18.09%	\$6,402,211.88
December	18.41%	\$15,084,484.80
January	22.52%	\$31,336,260.27
February	22.41%	\$31,930,260.27
March	21.82%	\$35,908,258.83
April	21.82%	\$35,908,258.83
May	22.61%	\$48,659,342.43
June		
July		
August		
September		

Contract Management General Contracting (CMGC)
Commitment at Award Monthly Dashboard
October 1, 2022 – May 31, 2023

Month	YTD DB/CMGC CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	25.00%	\$2,987,246.96
December	25.00%	\$2,987,246.96
January	25.00%	\$2,987,246.96
February	25.00%	\$2,987,246.96
March	14.33%	\$22,971,442.26
April	14.33%	\$22,971,442.26
May	14.33%	\$22,971,442.26
June		
July		
August		
September		

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Below is the Race Conscious/Race Neutral breakdown by division. The Division data is separated to show a more accurate representation of the Monthly Commitment at Award participation percentages.

OE, DPAC, DLA & DOTP						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	OE, DPAC, DLA & DOTP	24.62%	18.65%	5.97%
DESIGN BUILD						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	DESIGN BUILD	0.00%	0.00%	0.00%
CONSTRUCTION MANAGEMENT GENERAL CONTRACTING						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	CMGC	14.33%	14.19%	0.15%
ALL DIVISIONS						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	Total of All Divisions	23.96%	18.37%	5.60%

Additional Resources and Training

FHWA Video Goal Setting

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=84>

FHWA Video- Evaluating Good Faith Efforts

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=85>

US DOT Official Questions and Answers on the DBE Program

[https://www.transportation.gov/sites/dot.gov/files/docs/Official Questions and Answers 49 CFR Part 26 1.pdf](https://www.transportation.gov/sites/dot.gov/files/docs/Official%20Questions%20and%20Answers%2049%20CFR%20Part%2026%201.pdf)